Atty. Dkt. No.: 031264.051US PATENT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Petitioner:	SANDERS, Eugene Turner et al. Southwire Company (Assignee)	)
Serial No.:	10/622,040	) Group Art Unit: 2839
Filed:	July 17, 2003	) Examiner: J. H. Nasri
For:	Electrical Cable with Temperature Sensing Means and Method of Manufacture	) ) )

PETITION UNDER 37 CFR § 1.47
REGARDING NONSIGNING INVENTOR

Mail Stop Petitions Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Petitioner respectfully requests under 35 U.S.C § 116 and 37 C.F.R. § 1.47(a) that the above-identified application be accepted on behalf of joint inventors Steven Ray Campbell, Allan Wayne Daniel, John Nicholas Ware Jr., Jason Miles Baker and William McDowell Berry III on behalf of themselves and on behalf of joint inventor Eugene Turner Sanders, who refuses to join in the application for patent.

Petitioner requests that the fee of \$130.00 for the petition set forth in 37 C.F.R. § 1.17(h) be charged to Deposit Acct. No. 19-3388 in the name of Southwire Company.

All joint inventors, excepting Mr. Sanders, have made a Declaration on their own behalf as required by 37 C.F.R. § 1.63.

The last known address at which the nonsigning inventor customarily receives mail is as follows:

Mr. Eugene T. Sanders 20 Camp Drive Carrollton, Georgia 30117

The facts in this case are as follows:

The above-identified application is a Utility Patent Application filed July 17, 2003. All of Mr. Sanders' invention rights, as shown in the attached assignment records of all prior patent applications in which he is an inventor, have been assigned to Petitioner Southwire Company (See Exhibit A).

On December 8, 2004 the original of the attached Declaration was mailed to Mr. Sanders' residence. Mr. Sanders has refused to sign the Declaration and stated by phone that he would not sign the Declaration since he is no longer employed by Southwire Company.

Accordingly, it may be seen that Petitioner has presented Mr. Sanders with the Declaration which Mr. Sanders has expressly refused to sign. Petitioner respectfully submits that the above-stated facts establish proof of Mr. Sanders' refusal as required by 37 C.F.R. § 1.47(a) (and MPEP § 409.03(d)).

Because all other joint inventors have executed the required Declaration, Mr. Sanders' refusal is causing irreparable harm by delaying the examination of this patent application to the prejudice of such rights of Southwire Company.

Further, no counsel for Mr. Sanders has been identified so that Petitioner may communicate with the same. In this regard, Petitioner respectfully notes that upon the granting of this petition, Mr. Sanders may subsequently join in the above-identified application per 35 U.S.C § 116 and 37 C.F.R. § 1.47(a).

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Accordingly, Petitioner respectfully requests the granting of this petition to accord the above-identified application status under 37 C.F.R. § 1.47.

Respectfully submitted,

Herbert M. Hanegan, Reg. No. 25,682

Dated: December 22, 2004

SMITH, GAMBRELL & RUSSELL, LLP Suite 3100, Promenade II 1230 Peachtree Street, N.E. Atlanta, Georgia 30309-3592

Ph: (404) 815-3664 Fax: (404) 685-6964

PTO/SB/01 (05-03)

Approved for use through 04/30/2003. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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### **DECLARATION FOR UTILITY OR DESIGN** PATENT APPLICATION (37 CFR 1.63)

□ Declaration OR Submitted With Initial

Filing

□ Declaration

Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number	031264.051		
First Named Inventor	SANDERS, Eugene Turner		
COMP	PLETE IF KNOWN		
Application Number 10	10/622,/040		
Filing Date 07	07/17/2003		
Art Unit 28	374		
Examiner Name Ja	avaid H. Nasri		

I hereby declare that:						
Each inventor's residence, mailing address, and citizenship are as stated below next to their name.						
I believe the inventor(s) name on the invention entitled:	d below to be the original and firs	t inventor(s) of the subject matt	er which is claimed a	and for which a pate	ent is sought	
ELECTRICAL CAI MANUFACTURE	BLE WITH TEMPERA	TURE SENSING MI	EANS AND M	ETHOD OF		
the specification of which	(Title of th	e Invention)				
is attached hereto OR	•					
■ was filed on (MM/DD)	(AYYY) 07/17/2003	as United States Ap	plication Number o	r PCT Internationa	Il	
Application Number	and	was amended on (MM/DD/Y	YYY)	(ii	f applicable).	
I hereby state that I have revie specifically referred to above.	ewed and understand the conte	nts of the above identified spe	ecification, including	g the claims, as ar	mended	
applications, material informati	close information which is mater ion which became available be continuation-in-part application.	tween the filing date of the pr				
breeder's rights certificate(s), States of America, listed belov	benefits under 35 U.S.C. 119(a or 365(a) of any PCT internati v and have also identified belov or of any PCT international ap	onal application which desig w, by checking the box any fo	nated at least one preign application(s	country other tha ) for patent, inven	n the United tor's or plant	
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY) Country	Priority Not Claimed	Certified Copy YES	Attached?	
Additional foreign applicat	ion numbers are listed on a sur	polemental priority data sheet	t PTO/SB/02B attac	ched hereto:		

[Page 1 of 2]

This collection of information is required by 37 CFR 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/01 (05-03)
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# **DECLARATION** — Utility or Design Patent Application

Direct all correspondence to: Customer Numbor Bar Code Labor		25461		OR	Correspondence ac	ddress below
Name						
Address						
City	State			Z	P	
Country		Teleph	one		Fax	
I hereby declare that all statements made herein of my own believed to be true; and further that these statements were punishable by fine or imprisonment, or both, under 18 U.S.C application or any patent issued thereon.	made with the k	nowledge	that will	ful false sta	tements and the like so n	nade are
NAME OF SOLE OR FIRST INVENTOR:	A petiti	on has	been f	iled for th	nis unsigned invento	or
Given Name Eugene Turner (first and middle [if any])			ily Nam urname		DERS	
Inventor's Signature  Date						
Residence: City	State		Coun	try	Citizenship	
Carrollton	GA		USA		USA	
Mailing Address						
20 Camp Drive						
City	State		Zip		Country	
Carroliton	GA		30117		USA	
NAME OF SECOND INVENTOR: A P	etition has b	een file	d for th	is unsigr	ed inventor	
Given Name Steven Ray Family Name CAMPBELL (first and middle [if any]) rows Surname						
Inventor's Signature A. Ley	Ld			Date	- 22 - 20	04
Residence: City	State		Coun	try	Citizenship	
Carrollton	GA		USA		USA	
Mailing Address						
115 Smokerise Drive	·				_	
City	State		Zip		Country	
Carrollton	GA		30116		USA	
Additional inventors or a legal representative are being named on the 2 supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.						

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## **DECLARATION**

ADDITIONAL INVENTOR(S) Supplemental Sheet

Page 1 of 2

Name of Additional Joint Inventor, if any:	☐ A petition has been filed for this unsigned inventor				
Given Name (first and middle	e [if any])	Family Name or Sumame			
Allan Wayne		DANIEL			
Inventor's Signature Allan U. Da	m		Date 12/8/04		
Residence: City Woodland	State	USA Country	USA Citizenship		
Mailing Address 18525 County Road	87				
Mailing Address					
City Woodland	State	36280 ZIP	USA Country		
Name of Additional Joint Inventor, if any:		☐ A petition has been file	d for this unsigned inventor		
Given Name (first and middle	e [if any])	Fa	amily Name or Surname		
John Nicholas		WARE JR.			
Inventor's Signature Date 12/08/0			Date /2/08/04		
Residence: City Villa Rica	State GA	Country USA	Citizenship		
Mailing Address 10085 Lakeview Par	rkway				
Mailing Address					
City Villa Rica S	GA GA	Zip 30180	Country USA		
Name of Additional Joint Inventor, if any:		☐ A petition has been file	d for this unsigned inventor		
Given Name (first and middle [if any]) Family Name or Surname					
Jason Miles BAKER					
Inventor's Signature Signature Pell City State AL Country USA Citizenship USA					
Residence: City Pell City	State AL	Country USA	Citizenship		
Mailing Address 10 Madelen Court					
Mailing Address			· · · · · · · · · · · · · · · · · · ·		
City Pell City	State AL	<b>Zip</b> 35128	Country USA		

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## **DECLARATION**

ADDITIONAL INVENTOR(S) **Supplemental Sheet** 

Page 2 of 2

Name of Additional Joint Inventor, if any:			A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])			Family Name or Surname			
William McDowell			ERRY III			
Inventor's Signature	W Dowell 1	fer	wst.		Date	
Residence: City Newnan	GA State	961	USA		USA Citizenship	
Mailing Address 87 Parkview Drive	•					
Mailing Address						
City Newnan	GA _State	ZIP	30263	Cou	USA untry	
Name of Additional Joint Inventor, if any:	1		A petition has been filed for this unsigned inventor			
Given Name (first and midd	le [if any])		Fa	ımily	Name or Surname	
Inventor's Signature					Date	
Residence: City	State	Cou	Country Citizenship		Citizenship	
Mailing Address						
Mailing Address						
City	State	Zip		Cou	Country	
Name of Additional Joint Inventor, if any:			☐ A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])			Family Name or Surname			
Inventor's Signature					Date	
Residence: City	State	Country			Citizenship	
Mailing Address	Malling Address					
Mailing Address	Mailing Address					
City	State		Zip	Co	ountry	

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STATE OF GEORGIA	)	
	)	S
COUNTY OF CARROLL	1	

#### ASSIGNMENT

For Four Hundred Dollars (\$400.00) and other valuable consideration to us in hand paid by SOUTHWIRE COMPANY, a Delaware corporation have a place of business at Carrollton, Georgia, the receipt of such consideration being hereby acknowledged, we, Eugene T. Sanders, John Nicholas Ware, Allan Wayne Daniel, Jason Miles Baker, Steven Ray Campbell and William McDowell Berry, III do hereby jointly and severally sell, transfer, and assign and agree to sell, transfer and assign to said SOUTHWIRE COMPANY, its successors and assigns, the entire right, title, interest and priority rights in and to all of our inventions, discoveries and improvements disclosed in an application for Letters Patent entitled ELECTRICAL CABLE WITH TEMPERATURE SENSING MEANS AND METHOD OF MANUFACTURE and filed in the U.S. Patent Office on July 17, 2003 and assigned Application No. 10/622040 together with any application or applications corresponding thereto in whole or in part in all other foreign countries and all right, title and interest in and to Letters Patent and similar protective rights granted on said applications, as well as the right to claim any applicable priority rights arising from said applications under the terms of any applicable conventions, treaties, statutes, or regulations, said applications to be issued in the name of SOUTHWIRE COMPANY, or its designee; and we agree to execute all lawful documents as in the judgment of SOUTHWIRE COMPANY may be necessary to obtain any such patents and similar protective rights and vest the title thereof in SOUTHWIRE COMPANY, or its designee; and we further agree that, upon request, but without expense to us, we shall furnish to SOUTHWIRE COMPANY, or its designee any data, information, exhibits, memoranda, or other evidence in our possession relating to any of said inventions, discoveries, improvements, applications, patents or similar protective rights; and we hereby authorize and request issuance of all Letters Patent and similar protective rights that my be granted on any of said applications, to the extent that such issuance shall be requested by SOUTHWIRE COMPANY, or its designee; and we each covenant that we have full right to convey the interest herein assigned, and that we have not executed and will not execute any agreement in conflict herewith.

Signed by me this 12 day of Novemper, 2003.

English 2. Bulling
Engene T. Sanders

On this 12<sup>+L</sup> day of November, 2003, personally appeared before me, a Notary Public in and for the State of Georgia, Eugene T. Sanders, to me known and known to me to be the person(s) described in and who executed the foregoing instrument, and they acknowledged to me that they executed the same.

John Public

NOTARY PUBLIC

Signed by me this 5th day of January, 2003.
John Nicholas Ware
On this The day of January, 2003, personally appeared before me, a Notary Public in and for the State of Georgia, John Nicholas Ware, to me known and known to me to be the person(s) described in and who executed the foregoing instrument, and they acknowledged to me that they executed the same.  NOTARY  NOTARY  PUBLIC  COUNTILIANT  COUNTILIAN
Signed by me this 4 day of November, 2003.
Allan Wayne Daniel
On this day of <u>Jorenber</u> , 2003, personally appeared before me, a Notary Public in and for the State of Alabama, Allan Wayne Daniel, to me known and known to me to be the person(s) described in and who executed the foregoing instrument, and they acknowledged to me that they executed the same.
Motary Public Notary Public NOTARY PUBLIC STATE OF ALABAMA AT LARGE MY COMMISSION EXPIRES: Aug 9, 2004

Signed by me this 2nd day of Junuay, 2004.

Jason Miles Baker

On this <u>220</u> day of <u>language</u>, 2003, personally appeared before me, a Notary Public in and for the State of Alabama, Jason Miles Baker to me known and known to me to be the person(s) described in and who executed the foregoing instrument, and they acknowledged to me that they executed the same.

Notary Public

MY COMMISSION EXPIRES APRIL 16, 2005

Signed by me this day of audity, 2003.
Steven Ray Campbell
On this Color day of Vinuous 2002, personally appeared before me, a Notary Public in and for the State of Georgia, Steven Ray Campbell to me known and known to me to be the person(s) described in and who executed the foregoing instrument, and have Each now edged to me that they executed the same.  NOTARY  Notary Public  PUBLIC  COUNTILL  AND 19
Signed by me this day of hours, 2008.  William McDowell Berry, III
On this the day of Landy, 2003, personally appeared before me, a Notary Public in and for the State of Georgia, William McDowell Berry, III to me known and known to me to be the person(s) described in and who executed the foregoing instrument, and they acknowledged to me that they executed the same.
Notary Public NOTARY  PUBLIC  ANTE OLIVINATION OF THE PUBLIC  ANTE OLIVINATION OF THE PUBLIC OLIVINATION OLI
SW-505 COUNTAIN

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Effective on 12/08/2004. Complete if Known Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). 10/622,040 **Application Number** TRANSMIT July 17, 2003 Filing Date For FY 2005 SANDERS, Eugene T. First Named Inventor J.H. Nasri **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27 Art Unit 2839 TOTAL AMOUNT OF PAYMENT (\$) \$130.00 Attorney Docket No. 031264.051US METHOD OF PAYMENT (check all that apply) Check Credit Card Money Order None Other (please identify): Deposit Account Name:\_ Southwire Company X Deposit Account Deposit Account Number: 19-3388 For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) Credit any overpayments under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES EXAMINATION FEES** SEARCH FEES **Small Entity** Small Entity Small Entity **Application Type** Fee (\$) Fees Paid (\$) Fee (\$) Fee (\$) <u>Fee (\$)</u> Fee (\$) Fee (\$) Utility 300 150 500 250 200 100 Design 200 100 100 50 130 65 Plant 200 100 300 160 150 80 Reissue 300 600 150 500 250 300 200 100 n Provisional 0 0 0 2. EXCESS CLAIM FEES Small Entity Fee (\$) Fee Description Fee (\$) Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent 50 25 Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent 200 100 180 Multiple dependent claims 360 **Multiple Dependent Claims Total Claims Extra Claims** Fee (\$) Fee Paid (\$) - 20 or HP = Fee (\$) Fee Paid (\$) HP = highest number of total claims paid for, if greater than 20 Extra Claims Fee (\$) Fee Paid (\$) Indep. Claims - 3 or HP = HP = highest number of independent claims paid for, if greater than 3 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Number of each additional 50 or fraction thereof **Total Sheets Extra Sheets** Fee (\$) Fee Paid (\$) (round up to a whole number) x - 100 = / 50 = 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other: Petition to Director (Non-Signing Inventor) \$130.00

SUBMITTED BY			
Signature	Af arbert M Howan	Registration No. 25,682 (Attorney/Agent)	Telephone (404) 815-3664
Name (Print/Type)	Herbert M. Hanegan		Date December 22, 2004

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.